



November 09, 2021
116/2021-VNC

EXTERNAL COMMUNICATION

Listed B3 Participants

Re: **Inclusion and Exclusion of Customer Names on the List of Defaulters**

We hereby inform you that, according to information received from market participants, **Breno José Ribeiro** (Individual Taxpayer No. CPF/MF 109.841.659-75), **Carlos Moreira dos Santos** (Individual Taxpayer No. CPF/MF 038.512.845-26), **Carlos Roberto de Bastos Lima** (Individual Taxpayer No. CPF/MF 871.671.151-34), **Charlane Rodrigues Tolentino** (Individual Taxpayer No. CPF/MF 030.530.011-36), **Edson de Lima Peixinho** (Individual Taxpayer No. CPF/MF 114.584.508-80), **Fabio Matsuzaki** (Individual Taxpayer No. CPF/MF 279.183.128-21), **Felipe Duarte Barroca** (Individual Taxpayer No. CPF/MF 080.367.356-60), **Francisco Antônio Pimenta da Cunha** (Individual Taxpayer No. CPF/MF 356.084.551-34), **Francisco Marcelo Bandeira Monteiro** (Individual Taxpayer No. CPF/MF 312.103.108-21), **Gerson Schlatter de Lima** (Individual Taxpayer No. CPF/MF 047.880.968-97), **Henrique Damião Borasca Gomes** (Individual Taxpayer No. CPF/MF 442.551.868-39), **Kleber de Souza Nepomuceno** (Individual Taxpayer No. CPF/MF 027.787.556-08), **Lucas de Oliveira Cruz** (Individual Taxpayer No. CPF/MF 407.894.008-04), **Lucas Silva Pires** (Individual Taxpayer No. CPF/MF 454.052.428-37), **Luciano Marcos Rissi** (Individual Taxpayer No. CPF/MF 784.652.909-97), **Luiz Antônio Pitaluga Silva** (Individual Taxpayer No. CPF/MF 031.171.531-10), **Marcelo Kanayama Stella**

(Individual Taxpayer No. CPF/MF 386.450.348-59), **Marcelo Martins Soares** (Individual Taxpayer No. CPF/MF 102.547.137-77), **Márcio Douglas de Castro** (Individual Taxpayer No. CPF/MF 002.827.591-82), **Maria Anita Soares da Silva** (Individual Taxpayer No. CPF/MF 047.679.838-86), **Maykon Willian da Silva** (Individual Taxpayer No. CPF/MF 079.045.949-35), **Michael Boz** (Individual Taxpayer No. CPF/MF 976.091.700-97), **Oseias Gomes de Oliveira** (Individual Taxpayer No. CPF/MF 005.315.571-89), **Rafael Dias de Oliveira** (Individual Taxpayer No. CPF/MF 495.282.718-63), **Rafaella Gomes de Mattos** (Individual Taxpayer No. CPF/MF 166.962.757-89), **Raphael Pessanha Fidelis** (Individual Taxpayer No. CPF/MF 094.007.167-37), **Ricardo Josué Martinelli** (Individual Taxpayer No. CPF/MF 046.563.999-22), **Rodrigo Souza Soares de Lima** (Individual Taxpayer No. CPF/MF 041.517.814-20), **Sérgio Kenzo Kamei** (Individual Taxpayer No. CPF/MF 129.616.438-13), **Suiara Oliveira de Almeida** (Individual Taxpayer No. CPF/MF 029.394.061-46), **Suselei Zanetti Roque** (Individual Taxpayer No. CPF/MF 175.640.218-37), **Túlio Marques da Nóbrega** (Individual Taxpayer No. CPF/MF 021.690.441-24), **Valdeir Brito da Silva** (Individual Taxpayer No. CPF/MF 003.599.011-23), **Valdivino César Souza de Jesus** (Individual Taxpayer No. CPF/MF 009.037.091-04), **Wagner de Santana** (Individual Taxpayer No. CPF/MF 293.128.538-21), **Wellington Antônio de Souza** (Individual Taxpayer No. CPF/MF 403.401.508-07) and **Yuri Barros Reis Montenegro Guedes** (Individual Taxpayer No. CPF/MF 120.321.647-52) have failed to settle transactions carried out at B3.

We also inform you that, according to information received from market participants, **Abraão de Oliveira** (Individual Taxpayer No. CPF/MF 089.398.247-41), **Adelson Aparecido Cornélio** (Individual Taxpayer No. CPF/MF 026.782.009-73),

Adriano Pedroso Junior (Individual Taxpayer No. CPF/MF 111.158.886-41), **Ailton César da Silva** (Individual Taxpayer No. CPF/MF 386.364.618-55), **Alan Soares dos Santos** (Individual Taxpayer No. CPF/MF 116.302.247-07), **Alessandra Ribeiro dos Santos** (Individual Taxpayer No. CPF/MF 267.435.718-57), **Alex Virginio de Araújo** (Individual Taxpayer No. CPF/MF 073.851.694-57), **Alexandre Souto Penha** (Individual Taxpayer No. CPF/MF 313.761.128-84), **Alisson Souza Junior** (Individual Taxpayer No. CPF/MF 832.991.221-34), **Amanda Karla Barci da Silva** (Individual Taxpayer No. CPF/MF 224.624.218-58), **Antônio Marcos Felício da Cunha** (Individual Taxpayer No. CPF/MF 942.723.446-68), **Breno Silva Santos** (Individual Taxpayer No. CPF/MF 417.542.998-06), **Bruno Rossetto Horni** (Individual Taxpayer No. CPF/MF 374.326.408-07), **Carlos Eduardo Berti** (Individual Taxpayer No. CPF/MF 053.313.859-07), **Claudio Rodrigues** (Individual Taxpayer No. CPF/MF 903.922.211-87), **Cleverson Leandro Ribeiro** (Individual Taxpayer No. CPF/MF 045.712.069-09), **Dailson José Pessoa** (Individual Taxpayer No. CPF/MF 111.345.394-01), **Damião Bezerra de Araújo** (Individual Taxpayer No. CPF/MF 421.051.978-25), **David dos Santos Furtado** (Individual Taxpayer No. CPF/MF 387.743.098-89), **Denis Bispo de Freitas** (Individual Taxpayer No. CPF/MF 351.856.048-40), **Denis Cruz Januário** (Individual Taxpayer No. CPF/MF 001.098.741-02), **Diego Felipe dos Santos Ribeiro** (Individual Taxpayer No. CPF/MF 113.620.216-10), **Diego Rosa de Andrade** (Individual Taxpayer No. CPF/MF 130.400.787-12), **Diogo Rafael Lucas da Silva** (Individual Taxpayer No. CPF/MF 071.043.724-23), **Ebenezer Borges Sodré** (Individual Taxpayer No. CPF/MF 632.474.102-87), **Eduardo Melo de Lima** (Individual Taxpayer No. CPF/MF 260.910.168-65), **Erismar Gomes da Cruz** (Individual Taxpayer No. CPF/MF 036.485.573-86), **Euvane da Silva Moraes** (Individual Taxpayer No. CPF/MF

003.669.043-02), **Fabiano da Silva Silva** (Individual Taxpayer No. CPF/MF 991.588.504-06), **Felipe Augusto de Souza Carmelo da Silva** (Individual Taxpayer No. CPF/MF 317.759.338-81), **Fernando Fernandes de Moura** (Individual Taxpayer No. CPF/MF 098.272.397-08), **Gerson Padilha de Almeida** (Individual Taxpayer No. CPF/MF 525.034.290-68), **Gilmar Ribeiro dos Santos** (Individual Taxpayer No. CPF/MF 052.041.879-44), **Gisele Finoto Magalhães** (Individual Taxpayer No. CPF/MF 294.910.508-42), **Gustavo Diógenes Pessoa** (Individual Taxpayer No. CPF/MF 841.846.123-34), **Heriton Oliveira Graciano** (Individual Taxpayer No. CPF/MF 351.910.768-69), **Ildinei de Jesus Santos** (Individual Taxpayer No. CPF/MF 386.430.158-06), **Iremar Almeida Prado** (Individual Taxpayer No. CPF/MF 363.610.008-42), **Ivanilton Martins Borges** (Individual Taxpayer No. CPF/MF 056.071.933-73), **Jadir de Carvalho Ferraz** (Individual Taxpayer No. CPF/MF 322.454.677-87), **Jhefferson Justino de Sousa** (Individual Taxpayer No. CPF/MF 754.657.011-53), **Jordy Felipe de Souza Rocha** (Individual Taxpayer No. CPF/MF 048.223.871-27), **Juliane Caroline Correa Lopes de Almeida** (Individual Taxpayer No. CPF/MF 114.820.149-12), **Julio Cezar Lima dos Santos** (Individual Taxpayer No. CPF/MF 714.161.364-10), **Kaio Leonardo Novaes da Silva Santos** (Individual Taxpayer No. CPF/MF 110.100.957-81), **Leylton Castro** (Individual Taxpayer No. CPF/MF 014.191.163-80), **Luana Freire Quintino de Sousa** (Individual Taxpayer No. CPF/MF 729.584.211-04), **Lucas Silva Dias** (Individual Taxpayer No. CPF/MF 440.562.538-70), **Marcel Lapa Cortegiano** (Individual Taxpayer No. CPF/MF 219.840.538-50), **Marcelo Festa Stukas** (Individual Taxpayer No. CPF/MF 104.634.418-85), **Marcos Oenning Junior** (Individual Taxpayer No. CPF/MF 781.603.169-68), **Mariana Gomes Leite** (Individual Taxpayer No. CPF/MF 140.590.687-13), **Mário Autran de Moraes** (Individual Taxpayer No. CPF/MF

118.159.847-83), **Mateus José Moreira** (Individual Taxpayer No. CPF/MF 061.569.579-52), **Mauricio Cerqueira da Silva Junior** (Individual Taxpayer No. CPF/MF 107.265.186-62), **Natanael do Espírito Santo Barreto** (Individual Taxpayer No. CPF/MF 092.108.537-09), **Patricia Bordon Kronca de Haro Botole** (Individual Taxpayer No. CPF/MF 164.880.358-00), **Pedro Henrique Medeiros** (Individual Taxpayer No. CPF/MF 849.649.600-72), **Ramon Souza Pinheiro** (Individual Taxpayer No. CPF/MF 043.012.393-08), **Renan Lima Alves** (Individual Taxpayer No. CPF/MF 114.478.097-77), **Renato Maganha** (Individual Taxpayer No. CPF/MF 213.394.408-73), **Ricardo Alexandre Santana Pereira** (Individual Taxpayer No. CPF/MF 210.472.968-88), **Rivaldo Aparecido da Silva Andrade** (Individual Taxpayer No. CPF/MF 306.687.778-77), **Robson Alves Teixeira** (Individual Taxpayer No. CPF/MF 025.142.781-12), **Rodrigo Canto Aragão** (Individual Taxpayer No. CPF/MF 076.900.017-77), **Rodrigo Fiszman Igrejas Lopes** (Individual Taxpayer No. CPF/MF 133.837.677-28), **Ronaldo Alves Proença** (Individual Taxpayer No. CPF/MF 800.050.712-91), **Sérgio Rodrigues da Mata** (Individual Taxpayer No. CPF/MF 011.644.821-06), **Tadeu Antônio Machado** (Individual Taxpayer No. CPF/MF 312.681.588-01), **Taiara da Silva Lima** (Individual Taxpayer No. CPF/MF 401.804.668-52), **Ticiane Aristeu de Oliveira** (Individual Taxpayer No. CPF/MF 671.872.183-20), **Tomas de Avila Pinto Gonçalves** (Individual Taxpayer No. CPF/MF 084.502.806-56), **Valdinei Calacans de Oliveira** (Individual Taxpayer No. CPF/MF 065.821.109-93), **Vinícius Ricardo de Almeida Brito** (Individual Taxpayer No. CPF/MF 089.646.956-58), **Walison Roner Soares Silva** (Individual Taxpayer No. CPF/MF 119.702.236-85), **Wellington Daniel Farias** (Individual Taxpayer No. CPF/MF 045.109.939-78) and **Xenofonte Franco Araújo** (Individual Taxpayer No. CPF/MF 516.544.316-72) are no longer on the list of defaulters.

116/2021-VNC

Finally, we clarify that the inclusion and exclusion of defaulting customers in External Communication is following art. 5, §1 of CVM Resolution 36/2021 and with art. 56 of CVM Instruction 461/07; and with item 10 of the B3 Operating Procedures Manual, so that the disclosure of the name and CPF of the principals is in accordance with the terms of the General Law for the Protection of Personal Data, in the terms of item II of art. 7 of Law 13.709/2018 (LGPD).

This External Communication 116/2021-VNC of November 09, 2021, will come into force on **November 10, 2021**.

Mario Palhares

Chief Operating Officer – Electronic Trading and CCP